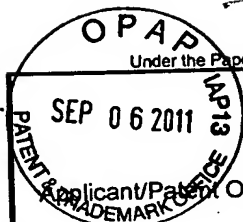


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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Inventor Owner: Daisuke Endo, et al.Application No./Patent No.: 10/564,742Filed/Issue Date: January 17, 2006Titled: **Positive Active Material, Process for Producing the Same, and Positive Electrode for Lithium Secondary Battery and Lithium Secondary Battery Each Employing the Same**GS YUASA CORPORATION, a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Daisuke Endo, et al. To: YUASA CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017451, Frame 0608, or for which a copy thereof is attached.
2. From: YUASA CORPORATION To: GS YUASA CORPORATION  
The document was recorded in the United States Patent and Trademark Office at  
Reel 017765, Frame 0028, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sean M. McGinn  
Signature

September 6, 2011

Date

Sean M. McGinn, Esq.

Printed or Typed Name

(703) 761-4100

Telephone number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Daisuke Endo, et al.

Application No./Patent No.: 10/564,742

Filed/Issue Date: January 17, 2006

Titled: Positive Active Material, Process for Producing the Same, and Positive Electrode for Lithium Secondary Battery and Lithium Secondary Battery Each Employing the Same

GS YUASA CORPORATION, a CORPORATION

(Name of Assignee)

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3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
  - A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

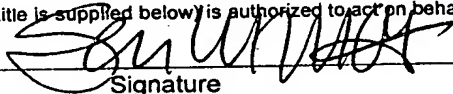
1. From: Daisuke Endo, et al. To: YUASA CORPORATION  
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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### Assignment

Whereas, GS Yuasa Corporation, of 1, Inobanba-cho, Nishinosho, Kisshoin, Minami-ku, Kyoto, Japan, hereinafter referred to as "Assignor", is the owner of the entire right, title and interest in United States Patent Applications listed on the attached schedule; and

Whereas, GS Yuasa International Ltd., of 1, Inobanba-cho, Nishinosho, Kisshoin, Minami-ku, Kyoto, Japan hereinafter referred to as "Assignee", desires to acquire the entire right, title, and interest of the Assignor in the said U.S. Patent Applications;

Now, therefore, for valuable consideration, receipt of which is hereby acknowledged, the above-named Assignor hereby sells, assigns and transfers to the above-named Assignee, its successors and assigns, the entire right, title and interest in the said U.S. Patent Applications, and any reissues or re-examinations thereof, together with all claims for damages by reason of past infringement of the said U.S. Patent Applications with the right to Assignee to sue for and collect the same for their own use and enjoyment.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

GS Yuasa Corporation

Date: December 1, 2010

By: \_\_\_\_\_

*Hideaki Yoshimura*  
Hideaki YOSHIMURA

Title: Director